

9-5-01
Express Mail Label No.: EL862126189US

Date of Deposit: September 4, 2001

Attorney Docket No 15966-601 (Cura 101)

Sector \$
Box/sep



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Bonnie Gould Rothberg, et al.
ASSIGNEE : CURAGEN CORP.
SERIAL NUMBER : 09/717,321
FILING DATE : November 20, 2000
EXAMINER : Not Yet Assigned
ART UNIT : 1645
FOR : METHODS OF IDENTIFYING TOXIC AGENTS USING NSAID-INDUCED
DIFFERENTIAL GENE EXPRESSION IN LIVER

September 4, 2001
Boston, Massachusetts

BOX Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

In response to the Notice of Missing Parts mailed on May 4, 2001, attached please find:

- ☒ Response to Notice to File Missing Parts. Preliminary Amendment and Response to Notice to Comply with Sequence Listing Requirement Under 37 C.F.R. §§ 1.821 – 1.825 (2 pgs.);
- ☒ Copy of Notice to File Missing Parts (2 pgs.);
- ☒ Combined Declaration and Power of Attorney executed by B. Rothberg and V. DiPippo (4 pgs);
- ☒ Combined Declaration and Power of Attorney executed by R. Gerwein (4 pgs);
- ☒ Combined Declaration and Power of Attorney executed by T. Ramesh (4 pgs);
- ☒ Initial Paper Copy of the Sequence Listing (26 pgs)
- ☒ Initial Sequence Listing (1 diskette);
- ☒ Statement in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.821(f) (1 pg);
- ☒ Check (#10072) in the amount of \$65.00 in payment of the surcharge fee;
- ☒ Check (# 10073) in the amount of \$195.00 in payment of two-month extension; and;
- ☒ Return Postcard.

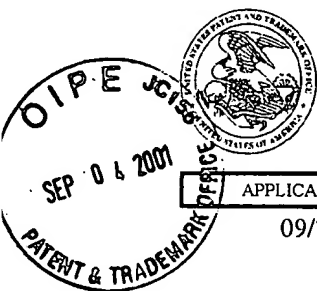
If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at telephone (617) 542-6000.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 50-0311 (Reference No. 15966-601 CURA-101)

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529
Cynthia Kozakiewicz, Reg. No. 42,764
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/717,321	11/20/2000	Bonnie Gould Rothberg	15966-601

CONFIRMATION NO. 1397

FORMALITIES LETTER



OC00000006040077

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C
One Financial Center
Boston, MA 02111

09/07/2001 NROCHA1 00000045 09717321

01 FC:205

65.00 OP

Date Mailed: 05/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

RECEIVED

FILED UNDER 37 CFR 1.53(b)

MAY 9 2001

Filing Date Granted

Done By	
<input type="checkbox"/> Data Entry	_____
<input type="checkbox"/> Docket Entry	_____
<input type="checkbox"/> Docket Cross Off	_____
<input type="checkbox"/> Previously Entered	_____
<input type="checkbox"/> No Docketing Req	_____
<input type="checkbox"/> ELITE	_____
<input type="checkbox"/> Annulment	_____

MINTZ LEVIN,
PATENT ATTORNEYS

Application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 65.
- o This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

09/07/2001 NROCHA1 00000045 09717321

01 FC:205

65.00 OP

For questions regarding compliance to these requirements, please contact:

- o For Rules Interpretation, call (703) 308-4216
- o To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE